

Real Estate E&O Insurance - Premium Indication Questionnaire

Applicant is: Individual Sole Proprietor Corporation Partnership _____

Operating Company / Individual Name(dba): _____

Mailing Address: _____

City: _____ State _____ Zip _____

Phone: _____ Fax: _____ Email _____

Broker Name: _____ Contact Person(s): _____

During the last Five Years

1. Has the firm / individual or any proposed insured experienced any claims? Yes No
2. Has any broker/individual been subject to disciplinary action or had a license revoked or suspended Yes No

If "Yes" a premium indication will not be available - Call our office for a standard business application.

[>>> Policy - Coverage Information <<<](#)

What Date was the firm established: _____ List all States you operate in: _____

Is your Business Owned or Controlled by - or - do you Own, Control or Manage any other business Yes No

If Yes, please define _____

Type of Firm: Sole Proprietor Corporation Partnership LC, LLC _____

Do you currently have an E&O Insurance Policy Yes No

If Yes: What Expiration date? _____ What Prior Acts Date? _____ Include a copy of the policy Declaration Page

Requested Limits of Liability: \$250,000 / \$250,000 \$500,000 / \$500,000 1,000,000 / \$1,000,000
 Other _____ / _____

Requested Deductible: \$1000 \$2500 \$ 5000 Other _____

[>>> Brokerage Staff <<<](#)

How many - Brokers/Agents are licensed with your firm; Full Time _____ Part Time _____ No Income _____

How many - Broker/Agents hold Professional Designations? _____ Completed Continuing Education? _____

Principal Broker - First Year Licensed as an: Agent? _____ Broker? _____ Professional Designations? _____

[>>> Risk Reduction Questions <<<](#)

Percentage of All sales utilizing **Standard Contracts?** _____ % Signed **Seller Disclosure Forms?** _____ %

Sales including a Home Warranty Plan? _____ % Sales including a Professional Home Inspection? _____ %

Sales conducted as a Dual Agency Transaction _____ % Sales of Owned Interest Properties _____ %

- | | |
|-------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Do you have a Policy Procedure Manual? <input type="checkbox"/> Yes <input type="checkbox"/> No | Do you document all Complaints? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Do you use in house Legal or Risk Manager? <input type="checkbox"/> Yes <input type="checkbox"/> No | Do you disclose Agency Relationships in writing? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Do you conduct regular Sales Meetings? <input type="checkbox"/> Yes <input type="checkbox"/> No | Do you sell Owned or Developed properties? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Does any client represent 10% or more sales? <input type="checkbox"/> Yes <input type="checkbox"/> No | Any Exclusive arrangements with Builders? <input type="checkbox"/> Yes <input type="checkbox"/> No |

Sales & Revenue

How are you reporting Fiscal Year End Most recent 12 Month

New Firms - please estimate the next 12 month figures

What was the average sales price last year? Residential \$ _____
 Commercial \$ _____

What was the largest valued sale last year? Residential \$ _____
 Commercial \$ _____

What was the Firms Gross Income: Last Year? \$ _____ Previous Year? \$ _____

> provide gross brokerage earned commission income - not sales volume - and number of sales - not sides <
list sales and income only once per each activity

	Number of Sales	Income Current Year	Income Est. Next Year
Residential Sales	_____	\$ _____.	\$ _____.
Residential (Non-producing) Farm & Ranch Sales	_____	\$ _____.	\$ _____.
Residential Building Lots / Land Sales	_____	\$ _____.	\$ _____.
Producing Farm & Ranch Sales	_____	\$ _____.	\$ _____.
Land / Recreational Sales	_____	\$ _____.	\$ _____.
Commercial Sales	_____	\$ _____.	\$ _____.
Residential Property Management / Leasing	_____	\$ _____.	\$ _____.
Commercial Property Management / Leasing	_____	\$ _____.	\$ _____.
Business Opportunity Brokering	_____	\$ _____.	\$ _____.
REO / Foreclosure Sales	_____	\$ _____.	\$ _____.
BPO / CMA - Broker Price Opinions	_____	\$ _____.	\$ _____.
Real Property Appraisals	_____	\$ _____.	\$ _____.
Mortgage Brokering (not banking)	_____	\$ _____.	\$ _____.
Auctioneering	_____	\$ _____.	\$ _____.
Sales of Owned Interest Properties	_____	\$ _____.	\$ _____.
Fee for Service Income	_____	\$ _____.	\$ _____.
Other Income _____ Please Define	_____	\$ _____.	\$ _____.

Notations Comments:

Signature: _____

Date: _____